

# A proof that categorical equivalence is decidable

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Let  $A$  and  $B$  be algebras (not necessarily having similar type).

### Question

When there is a categorical equivalence  $\varphi : \mathcal{V}(A) \rightarrow \mathcal{V}(B)$  such that  $\varphi(A) \simeq B$ ? (This condition is referred as  $A$  is categorically equivalent to  $B$ .)

Several Answers:

- Characterization by terms (McKenzie 1996).
- Characterization by relational clones (Denecke and Lüders 2001).
- The case of finite groups.
- The case of maximal clones.
- etc.

Topic of this talk is the case of “recursive algebras”.

### Theorem (Bergman and Berman 1998 [3])

There is an algorithm that

- Input: Finite sets  $A$  and  $B$  and finite sets of operations  $F$  on  $A$  and  $G$  on  $B$ .
- Output: Whether  $A$  is categorically equivalent to  $B$ .

### Theorem (Folklore?)

There is an algorithm that

- Input: Finite sets  $A$  and  $B$  and finite sets of relations  $R$  on  $A$  and  $S$  on  $B$ .
- Output: Whether  $(A, \text{Pol}(S))$  is categorically equivalent to  $(B, \text{Pol}(T))$ .

### Remark

There are no algorithms that decide equality of functions determined by codes of algorithms.

It seems there are no algorithms that decide categorical equivalence for any recursive algebras.

# Outline of Algorithm

## Theorem (I. 2013 [6])

Finite algebras  $A$  and  $B$  are categorically equivalent iff  $\text{Ess}(A) \simeq \text{Ess}(B)$ .

- 1 Calculate essential parts and its generators of input algebras.
- 2 Compare the cardinality of underlying sets of essential parts.
- 3 For each bijection, examine definitional equivalence hold or not.

## Outline of Talk

- 1 Definitional Equivalence
- 2 Relational Structure Theory
- 3 The Algorithm
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# 1. Definitional Equivalence

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## Definition

Let  $A$  be a finite set.

- Let  $F$  be a set of operations on  $A$ .

$\text{Inv}(F) := \{R \subset A^n \mid n \in \mathbb{N}, R \text{ is closed under all } f \in F\}$ .

- Let  $R$  be a set of relations on  $A$ .

$\text{Pol}(R) := \{f : A^n \rightarrow A \mid n \in \mathbb{N}, f \text{ preserves all } r \in R\}$ .

## Theorem

Let  $A$  be a finite set,  $F$  be a set of operations on  $A$  and  $g$  be an operation on  $A$ . TFAE.

- $g$  is described by function belongs to  $F$  using compositions and projections. ( $g \in \langle F \rangle$ )
- $g \in \text{Pol}(\text{Inv}(F))$ , namely for any  $r$  closed under  $F$ ,  $r$  also be closed under  $g$ .

## Corollary

Let  $A$  be a finite set. Then there is an algorithm that

- Input: Finite sets of operations  $F$  and  $G$  on  $A$ .
- Output: Whether  $\langle F \rangle = \langle G \rangle$ .

**Sketch of Proof:** If  $\langle F \rangle = \langle G \rangle$  is true, then we can conclude  $\langle F \rangle = \langle G \rangle$  in finite steps.

If  $\langle F \rangle \not\subseteq \langle G \rangle$  is true, then we can find  $r \in \text{Inv}(G)$  s.t.  $r$  is not closed under some  $f \in F$  and can conclude  $\langle F \rangle \not\subseteq \langle G \rangle$ .

## Theorem

Let  $A$  be a finite set,  $R$  be a set of relations on  $A$  and  $s$  is a relation on  $A$ . Then TFAE.

- $s$  is definable by  $\exists, \wedge$  and relations belongs to  $R$ . ( $s \in [R]$ )
- $s \in \text{Inv}(\text{Pol}(R))$ .

## Corollary

Let  $A$  be a finite set. Then there is an algorithm that

- Input: Finite sets of relations  $R$  and  $S$  on  $A$ .
- Output: Whether  $[R] = [S]$  (that is, equivalent to  $\text{Pol}(R) = \text{Pol}(S)$ ).



## 2. Relational Structure Theory

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Many definitions in this part.

- Idempotent retract. (Compression.)
- Matrix product. (Constructed algebra from family of idempotent retracts.)
- Cover relation. (No lack of information with respect to category structure.)
- Smallness of family of idempotent retracts.
- **Essential part**  
= matrix product of the minimum family of idempotent retracts that has no lack of information.

## Definition (Kearnes 2001 [1])

Let  $A$  be an algebra.

·  $e \in \text{Clo}_1(A)$  is said to be **idempotent** if  $e^2 = e$ .

$\mathbf{E}(A)$  := the set of all idempotent term operations.

· If  $e \in \mathbf{E}(A)$ , an **idempotent retract** of  $A$  by  $e$ , denoted by  $A|_{e(A)}$  or simply  $e(A)$ , is an algebra such that

- The underlying set is  $e(A)$ .

-  $\text{Clo}_m(A|_{e(A)}) := \{e \circ f \mid f \in \text{Clo}_m(A)\}$ .

The structure of  $A|_{e(A)}$  is determined by the set  $e(A) = \{x \in A \mid e(x) = x\}$  (independent of  $e$  itself).

## Remark

$X \mapsto e(X)$  is a functor from  $\mathcal{V}(A)$  to  $\mathcal{V}(e(A))$ .

## Definition ([1])

Let  $A$  be an algebra.  $e_1, \dots, e_l \in \mathbf{E}(A)$ .  $e_1(A) \boxtimes \dots \boxtimes e_l(A)$  (called **matrix product**) is an algebra such that

- the underlying set is  $e_1(A) \times \dots \times e_l(A)$ .
- $\text{Clo}_m(e_1(A) \boxtimes \dots \boxtimes e_l(A))$  is a set of tuples  $(e_1 t_1, \dots, e_l t_l)$  where  $t_i \in \text{Clo}_m(A)$ .

## Remark

$X \mapsto e_1(X) \boxtimes \dots \boxtimes e_l(X)$  is a functor from  $\mathcal{V}(A)$  to  $\mathcal{V}(e_1(A) \boxtimes \dots \boxtimes e_l(A))$ .

## Definition

Let  $e_1, \dots, e_n, e \in \mathbf{E}(A)$ .

$\{e_1(A), \dots, e_n(A)\}$  **covers**  $e(A)$  if

$$\exists t, f_1, \dots, f_l \in \text{Clo}(A); t(e_{i_1} f_1(x), \dots, e_{i_l} f_l(x)) = e(x).$$

This case  $e(A)$  is “embedded” in  $e_{i_1}(A) \times \dots \times e_{i_l}(A)$  by term operations. That is, idempotent retract

$$(e_{i_1} f_1, \dots, e_{i_l} f_l) \circ t(e_{i_1}(A) \boxtimes \dots \boxtimes e_{i_l}(A))$$

is isomorphic to  $e(A)$ .

	$A$	“ $\subset$ ”	$e_{i_1}(A) \boxtimes \dots \boxtimes e_{i_l}(A)$
“Embedding”:	$x$	$\longmapsto$	$(e_{i_1} f_1(x), \dots, e_{i_l} f_l(x))$
“Retraction”:	$t(x_1, \dots, x_l)$	$\longleftarrow$	$(x_1, \dots, x_l)$

## Proposition ([1])

Let  $\mathcal{A} = (A, C)$  be a finite algebra and  $e_1(A), \dots, e_n(A)$  be idempotent retracts of  $\mathcal{A}$ . Then TFAE.

- $\{e_1(A), \dots, e_n(A)\}$  covers  $A$ .
- For  $n \in \mathbb{N}$ ,  $S, T \in \text{Inv}_n(C)$ , the following implication holds:

$$S \upharpoonright_{e_i(A)} = T \upharpoonright_{e_i(A)} \quad \text{for all } i \in \{1, \dots, n\}$$

$$\Rightarrow S = T.$$

- $X \mapsto e_1(X) \boxtimes \dots \boxtimes e_n(X)$  is a categorical equivalence  $\mathcal{V}(A) \rightarrow \mathcal{V}(e_1(A) \boxtimes \dots \boxtimes e_n(A))$ .

## Definition ([1])

A cover  $\mathcal{U} = \{e_1(A), \dots, e_n(A)\}$  of  $A$  is **minimum** if  $\mathcal{U}$  has no proper refinement and  $\mathcal{U} \setminus \{e_{i_0}(A)\}$  does not cover  $A$  for  $i_0 = 1, \dots, n$ .

## Definition ([1])

Let  $\mathcal{U}_1$  and  $\mathcal{U}_2$  be covers of  $A$ .  $\mathcal{U}_1$  is a **refinement** of  $\mathcal{U}_2$  if for all  $U_1 \in \mathcal{U}_1$  there exists  $U_2 \in \mathcal{U}_2$  such that  $U_1 \subseteq U_2$ .

An refinement  $\mathcal{U}_1$  of  $\mathcal{U}_2$  is said proper if  $\mathcal{U}_2$  is not refinement of  $\mathcal{U}_1$ .

## Definition/Theorem ([1], Behrisch 2009 [2], [6])

Let  $A$  be a finite algebra.

- $A$  has unique minimum cover “up to isomorphism”.
- $\text{Ess}(A)$  denotes the matrix product of minimum cover and is said **essential part** of  $A$ .
- $A$  has unique essential part “up to isomorphism”.

## Theorem ([6])

Let  $A$  and  $B$  be finite algebras. TFAE.

- $A$  and  $B$  are categorically equivalent to each other.
- $\text{Ess}(A) \simeq \text{Ess}(B)$ .



## 3. The Algorithm

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# Outline of the algorithm

- ① Calculate essential parts and its generators of input algebras.
- ② Compare the cardinality of underlying sets of essential parts.
- ③ For each bijection, examine definitional equivalence hold or not.

# Calculate essential parts

## Lemma

There is algorithms as follows:

- Input: Finitely generated (co-generated) algebra and tuple of idempotent operations  $e_1, \dots, e_n : A \rightarrow A$ .
- Output: Whether  $e_1, \dots, e_n$  are term operations on  $A$  and  $\{e_1(A), \dots, e_n(A)\}$  is minimum cover of  $A$ , and if YES, output tuple of the terms satisfying a cover identity.  
 $t(e_{i_1}g_1(x), \dots, e_{i_l}g_l(x)) = x$ .

## relational case

If  $\{S_1, \dots, S_N\}$  is a set of generators of the relational clone of  $A$ , then  $\{\text{Ess}(S_1), \dots, \text{Ess}(S_n)\}$  is a set of generators of the relational clone of  $\text{Ess}(A)$ .

Here,  $\text{Ess}(S_i)$  is defined as

$$S_i \upharpoonright_{U_1} \times \cdots \times S_i \upharpoonright_{U_n}$$

where

$$\text{Ess}(A) = U_1 \boxtimes \cdots \boxtimes U_n$$

## operational case

Suppose  $f_1, \dots, f_n$  is a set of generators of operational clone of  $A$  and cover identity

$$t(e_1 g_{11}(x), \dots, e_1 g_{1M}(x), \dots, e_N g_{N1}(x), \dots, e_N g_{NM}(x)) = x$$

holds, where  $\{e_1(A), \dots, e_N(A)\}$  is a minimum cover of  $A$ . Then the set of terms determined by the following intuition generates the clone of  $\text{Ess}(A)$ .

- ① Translation to  $A$ :  $e_{i_0}(A) \hookrightarrow A \simeq \tilde{A} \subseteq \text{Ess}(A)^M$ .
- ② Copy of generators:  $\tilde{f}_s : \tilde{A}^{a_s} \rightarrow \tilde{A}$ .
- ③ Recover from  $A$ :  $\tilde{A}^N \simeq A^N \rightarrow e_1(A) \boxtimes \dots \boxtimes e_N(A) = \text{Ess}(A)$ .

## Concrete Generators

- ① Translation to  $A$ :  $e_{i_0}(A) \hookrightarrow A \simeq \tilde{A} \subseteq \text{Ess}(A)^M$ .  
for  $j \in \{1, \dots, M\}, i_0 \in \{1, \dots, N\}$

$$(x_i)_{i=1}^N \mapsto (e_i g_{ij}(x_{i_0}))_{i=1}^N.$$

- ② Copy of generators:  $\tilde{f}_s : A^{a_s} \rightarrow \tilde{A}$ .

for  $s \in \{1, \dots, n\}$  and  $j_0 \in \{1, \dots, M\}$

$$((x_{ijk})_{i=1}^N)_{1 \leq j \leq M, 1 \leq k \leq a_s} \mapsto (e_{i'} g_{i'j_0}(f_s(t(x_{ijk})_{i,j})_k))_{i'=1}^N.$$

where  $a_s$  is the arity of  $f_s$ .

- ③ Recover from  $A$ :  $\tilde{A}^N \simeq A^N \rightarrow e_1(A) \boxtimes \dots \boxtimes e_N(A) = \text{Ess}(A)$ .

$$((x_{iji'})_{i=1}^N)_{j,i'} \mapsto (e_{i'}(t(x_{iji'})_{i,j}))_{i'=1}^N.$$

“Embedding”  $A \rightarrow \text{Ess}(A)^M: x \mapsto (e_i g_{ij}(x))$ .

“retraction”  $\text{Ess}(A)^M \rightarrow A: (x_{ij})_{1 \leq i \leq N, 1 \leq j \leq M} \mapsto t(x_{ij})_{ij}$

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